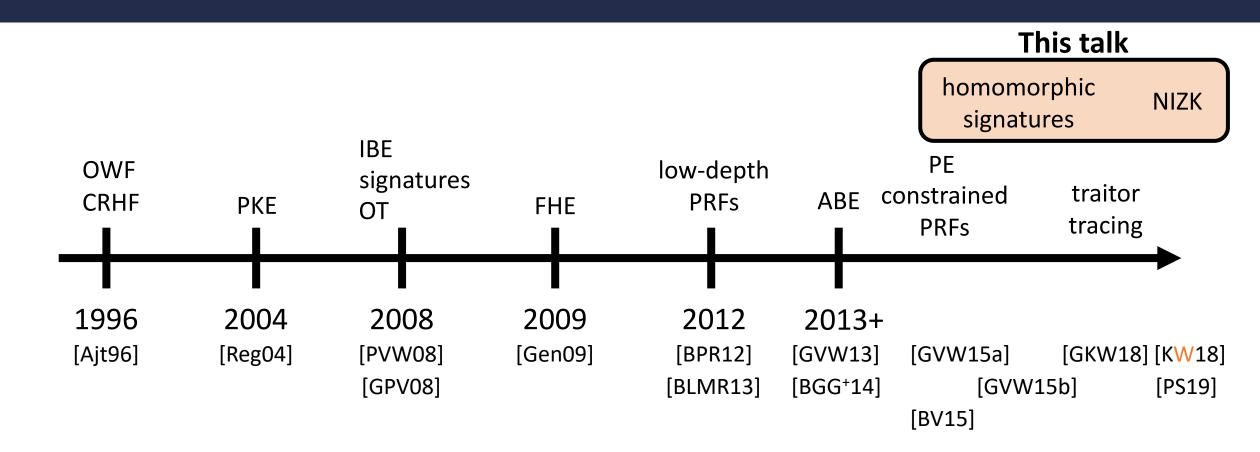
Computing with Lattices: Commitments, Signatures, and Zero-Knowledge

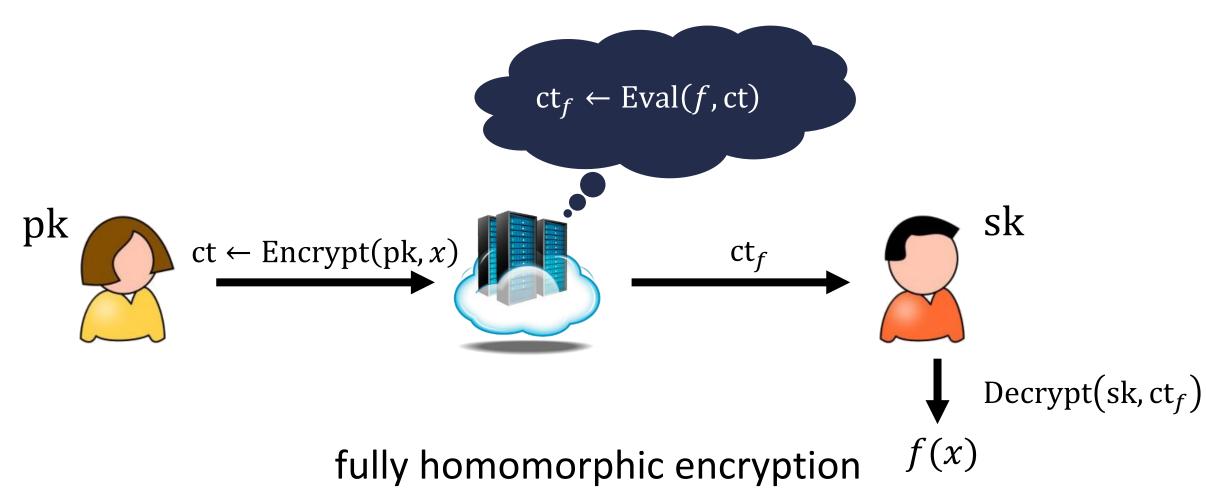
David Wu March 2020

Cryptography from Lattices



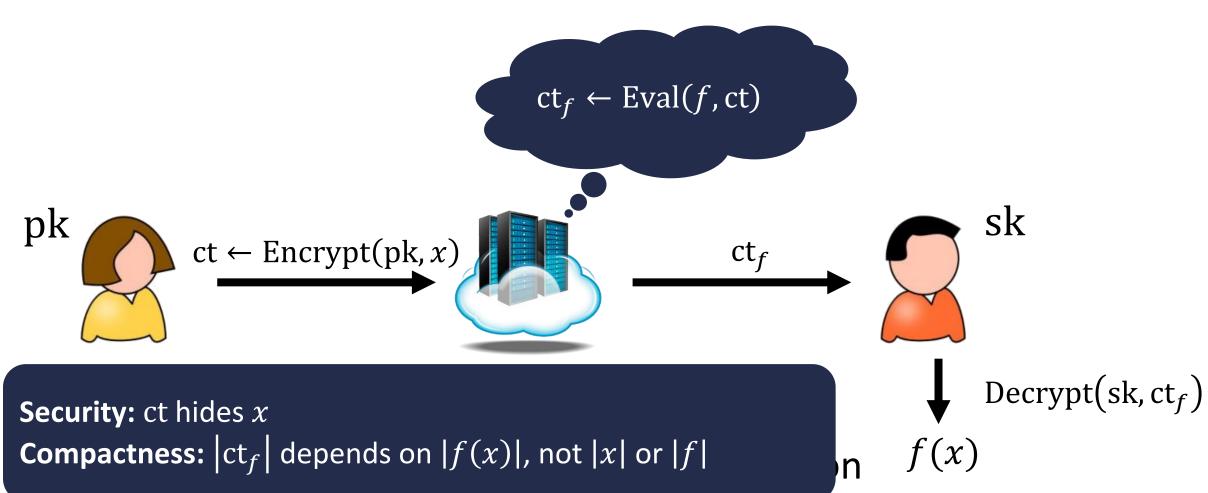
Computing on Encrypted Data

confidentiality for computations



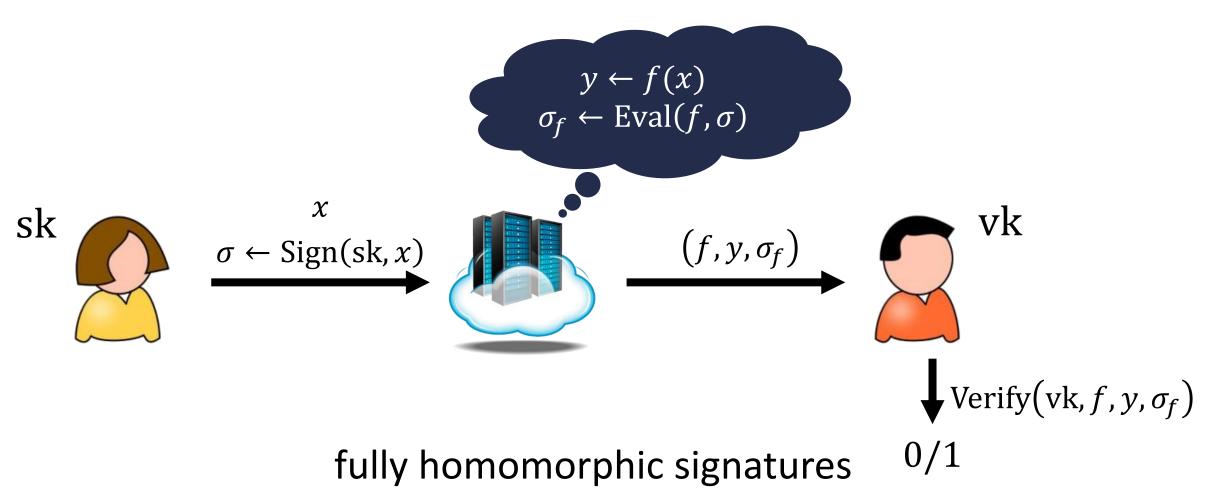
Computing on Encrypted Data

confidentiality for computations



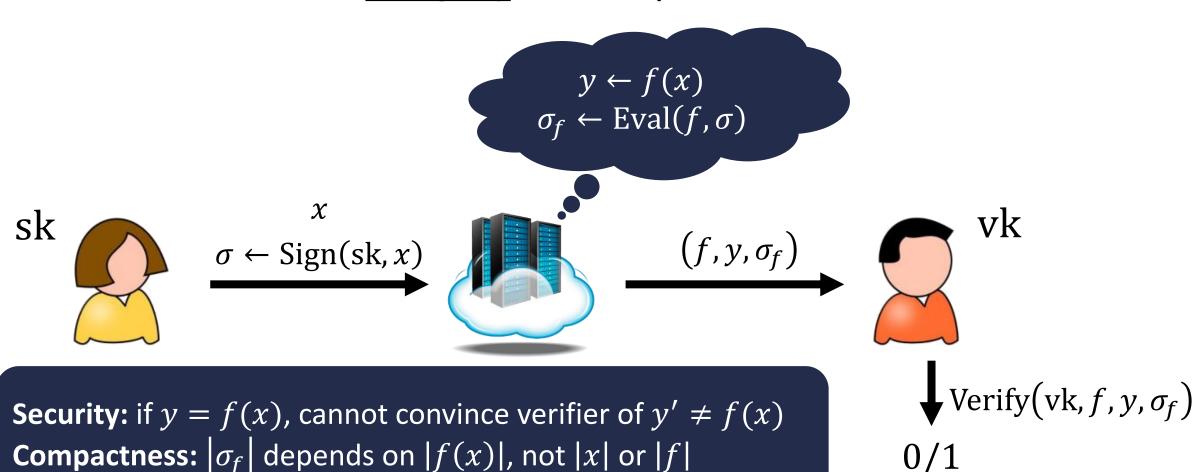
Computing on Signed Data

integrity for computations



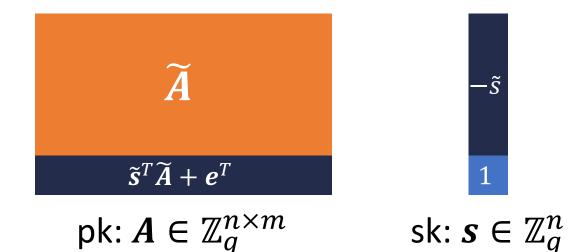
Computing on Signed Data

integrity for computations



The GSW FHE Scheme

recall the GSW encryption scheme:



public key is an **LWE matrix** (columns are LWE samples)

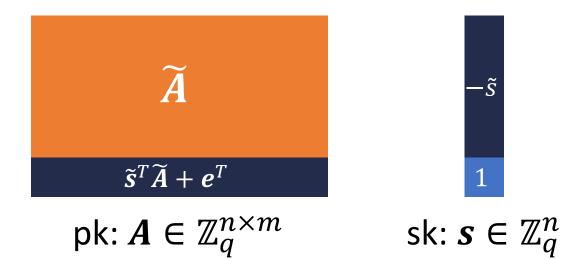
$$s^T A = e^T \approx 0^T$$

ciphertext for $x \in \{0,1\}$:

$$C = AR + xG$$
 where R is random short matrix

The GSW FHE Scheme

recall the GSW encryption scheme:



G is the "gadget" matrix:

$$\boldsymbol{G} = (1,2,4,\ldots,2^{\ell}) \otimes \boldsymbol{I}_n \in \mathbb{Z}_q^{n \times n\ell}$$

$$G^{-1}: \mathbb{Z}_q^{n \times k} \to \{0,1\}^{n\ell \times k}$$
 is "binary decomposition"

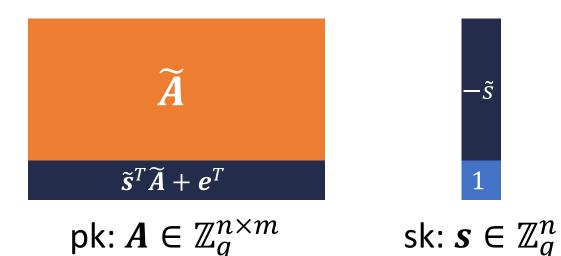
$$GG^{-1}(A) = A$$

ciphertext for $x \in \{0,1\}$:

$$C = AR + xG$$
 where R is random short matrix

The GSW FHE Scheme

recall the GSW encryption scheme:



public key is an **LWE matrix** (columns are LWE samples)

$$s^T A = e^T \approx 0^T$$

ciphertext for $x \in \{0,1\}$:

C = AR + xG where R is random short matrix

decryption:

$$s^T C = s^T A R + x \cdot s^T G \approx x \cdot s^T G$$

$$\boldsymbol{C}_1 = \boldsymbol{A}\boldsymbol{R}_1 + \boldsymbol{x}_1\boldsymbol{G} \qquad \boldsymbol{C}_2 = \boldsymbol{A}\boldsymbol{R}_2 + \boldsymbol{x}_2\boldsymbol{G}$$

$$C_{+} = C_{1} + C_{2} = A(R_{1} + R_{2}) + (x_{1} + x_{2})G$$

$$R_{+}$$

$$C_1 = AR_1 + x_1G$$
 $C_2 = AR_2 + x_2G$

$$C_{+} = C_{1} + C_{2} = A(R_{1} + R_{2}) + (x_{1} + x_{2})G$$

= $AR_{+} + (x_{1} + x_{2})G$

$$C_{\times} = C_1 G^{-1}(C_2) = AR_1 G^{-1}(C_2) + x_1 C_2$$

= $A(R_1 G^{-1}(C_2) + x_1 R_2) + x_1 x_2 G$
 R_{\times}

$$C_1 = AR_1 + x_1G$$
 $C_2 = AR_2 + x_2G$

$$C_{+} = C_{1} + C_{2} = A(R_{1} + R_{2}) + (x_{1} + x_{2})G$$

= $AR_{+} + (x_{1} + x_{2})G$

$$C_{\times} = C_1 G^{-1}(C_2) = AR_1 G^{-1}(C_2) + x_1 C_2$$

= $A(R_1 G^{-1}(C_2) + x_1 R_2) + x_1 x_2 G$
= $AR_{\times} + x_1 x_2 G$

Correctness: R_1, R_2, x_1 short $\Rightarrow R_+, R_\times$ also short

$$C_1 = AR_1 + x_1G$$

$$C_2 = AR_2 + x_2G$$

$$\vdots$$

$$C_n = AR_n + x_nG$$

$$C_1 = AR_1 + x_2G$$

"input-independent" evaluation

 C_f is a function of $C_1, ..., C_n, f$ (and independent of x)

$$\boldsymbol{C}_1 = \boldsymbol{A}\boldsymbol{R}_1 + \boldsymbol{x}_1\boldsymbol{G}$$

$$\boldsymbol{C}_2 = \boldsymbol{A}\boldsymbol{R}_2 + \boldsymbol{x}_2\boldsymbol{G}$$

$$C_{+} = C_{1} + C_{2} = A(R_{1} + R_{2}) + (x_{1} + x_{2})G$$

= $AR_{+} + (x_{1} + x_{2})G$



$$C_{\times} = C_1 G^{-1}(C_2) = A(R_1 G^{-1}(C_2) + x_1 R_2) + x_1 x_2 G$$

= $AR_{\times} + x_1 x_2 G$

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 $C_2 = AR_2 + x_2G$

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= $AR_{+} + (x_{1} + x_{2})G$



$$C_{\times} = C_1 G^{-1}(C_2) = A(R_1 G^{-1}(C_2) + x_1 R_2) + x_1 x_2 G$$

= $AR_{\times} + x_1 x_2 G$

observation: R_+ and $R_ imes$ is a <u>short linear combination</u> of R_1 and R_2

The BGG⁺ Homomorphisms

$$C_1 = AR_1 + x_1G \quad \cdots \quad C_n = AR_n + x_nG$$

$$C_f = AR_{f,x} + f(x)G$$
 where $R_{f,x} = [R_1 \mid \cdots \mid R_n]H_{f,x}$

and $H_{f,x}$ is short

equivalently:

$$[AR_1 \mid \cdots \mid AR_n]H_{f,x} = AR_{f,x}$$
$$[C_1 - x_1G \mid \cdots \mid C_n - x_nG]H_{f,x} = C_f - f(x)G$$

The BGG⁺ Homomorphisms

"input-independent" evaluation (given $C_1, ..., C_n, f$):

$${\it C}_1$$
, ..., ${\it C}_n\mapsto {\it C}_f$

sufficient for FHE

"input-dependent" evaluation (given $C_1, ..., C_n, f, x$):

$$[\boldsymbol{C}_1 - x_1 \boldsymbol{G} \mid \cdots \mid \boldsymbol{C}_n - x_n \boldsymbol{G}] \boldsymbol{H}_{f,x} = \boldsymbol{C}_f - f(x) \boldsymbol{G}$$

applications:	
---------------	--

input-inc	lepend	lent
evaluat	tion (A	f

input-dependent evaluation $(H_{f,x})$

attribute-based encryption
[BGGHNSVV14]

decryption

homomorphic signatures [GVW15]

verification

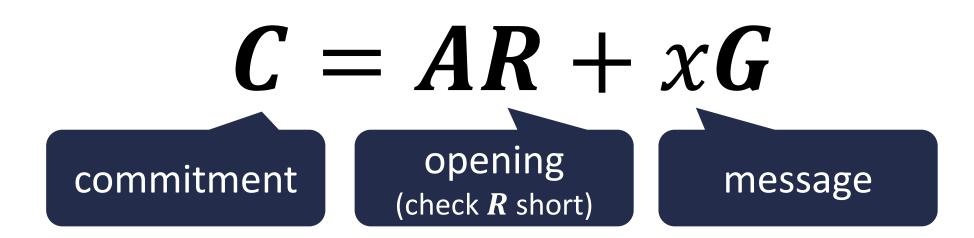
signing

constrained PRFs [BV15]

normal evaluation

constrained evaluation

public parameters $A \in \mathbb{Z}_q^{n \times m}$ (LWE matrix)



encryption of x with randomness R



commitment to *x* with opening *R*

public parameters $A \in \mathbb{Z}_q^{n \times m}$ (LWE matrix)

$$C = AR + \chi G$$
commitment
opening
(check R short)
message

statistically binding: correctness of GSW (in fact, extractable)

computationally hiding: security of GSW (under LWE)

computing on committed values:

$$C_1 = AR_1 + x_1G$$

$$C_2 = AR_2 + x_2G$$

$$\vdots$$

 $C_n = AR_n + x_n G$

goal: open the committed value to y = f(x)

syntax: Open(pp, c, (f, y), r)

pp: public parameters (f, y): value r: opening

c: commitment

binding:

adversary cannot open c to $(f, y) \neq (f, y')$

Openings are with respect to a value y and a function *f*

computing on committed values:

$$C_1 = AR_1 + x_1G$$
 $C_2 = AR_2 + x_2G$
 \vdots
 $C_n = AR_n + x_nG$

goal: open the committed value to y = f(x)

syntax: Open(pp, c, (f, y), r)

pp: public parameters (f, y): value c: commitment r: opening

binding:

adversary cannot open cto $(f, y) \neq (f, y')$

Application: preprocessing NIZKs

computing on committed values:

$$\boldsymbol{C}_1 = \boldsymbol{A}\boldsymbol{R}_1 + \boldsymbol{x}_1\boldsymbol{G}$$

$$\boldsymbol{C}_2 = \boldsymbol{A}\boldsymbol{R}_2 + \boldsymbol{x}_2\boldsymbol{G}$$

•

$$\boldsymbol{C}_n = \boldsymbol{A}\boldsymbol{R}_n + \boldsymbol{x}_n \boldsymbol{G}$$

commitment:

$$C_f = AR_{f,x} + f(x)G$$

 C_f is a commitment to f(x) with opening $R_{f,x}$

computing on committed values:

$$C_1 = AR_1 + x_1G$$

$$C_2 = AR_2 + x_2G$$

$$\vdots$$

 $C_n = AR_n + x_n G$



$$C_f = AR_{f,x} + f(x)G$$

opening:

commitment:

$$\mathbf{R}_{f,x} = [\mathbf{R}_1 \mid \cdots \mid \mathbf{R}_n] \mathbf{H}_{f,x}$$

check opening by computing C_f from C_1, \dots, C_n (does not need to know x) and verifying that $R_{f,x}$ is small and $C_f = AR_{f,x} + f(x)G$

computing on committed values:

$$\boldsymbol{C}_1 = \boldsymbol{A}\boldsymbol{R}_1 + \boldsymbol{x}_1\boldsymbol{G}$$

$$\boldsymbol{C}_2 = \boldsymbol{A}\boldsymbol{R}_2 + \boldsymbol{x}_2\boldsymbol{G}$$

•

$$C_n = AR_n + x_n G$$

commitment:

$$C_f = AR_{f,x} + f(x)G$$

opening:

$$\mathbf{R}_{f,x} = [\mathbf{R}_1 \mid \cdots \mid \mathbf{R}_n] \mathbf{H}_{f,x}$$

"input-independent" evaluation (given $C_1, ..., C_n, f$):

$$C_1, \ldots, C_n \mapsto C_f$$

verification

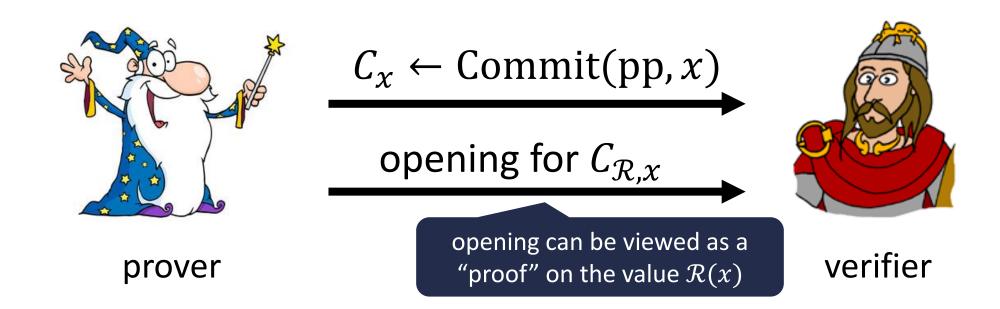
"input-dependent" evaluation (given $C_1, ..., C_n, f, x$):

$$[\boldsymbol{C}_1 - x_1 \boldsymbol{G} \mid \cdots \mid \boldsymbol{C}_n - x_n \boldsymbol{G}] \boldsymbol{H}_{f,x} = \boldsymbol{C}_f - f(x) \boldsymbol{G}$$

evaluation

From Commitments to Proofs

homomorphic commitments can be used to prove relations on secret values



compute opening for $C_{\mathcal{R},x}$ to $\mathcal{R}(x)$

compute commitment $C_{\mathcal{R},x}$ from C_x

Goal: prove that a (secret) statement x satisfies some relation \mathcal{R}



common reference string



$$C_w \leftarrow \text{Commit}(pp, w)$$

opening for $C_{\mathcal{R}_{\chi}, w}$



prover (x, w)

$$\mathcal{R}_{x}(w) \coloneqq \mathcal{R}(x, w)$$

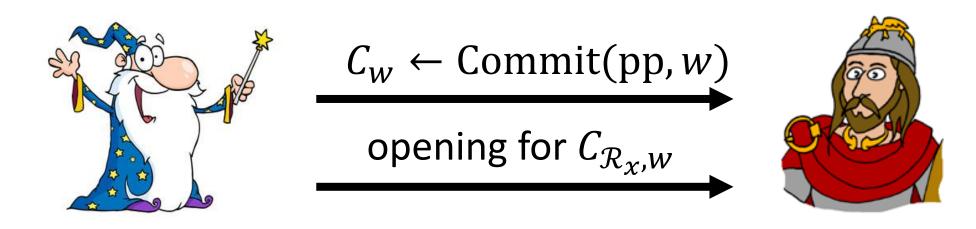
function that depends only on the statement x

verifier

 χ

verifier checks $\mathcal{C}_{\mathcal{R}_{oldsymbol{x},oldsymbol{W}}}$ opens to $oldsymbol{1}$

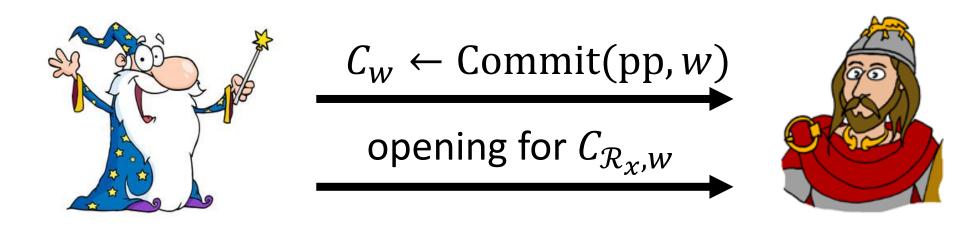
$$\mathcal{R}(x, w)$$
: NP relation



Zero-Knowledge ("proof hides w"):

- C_w hides w (commitment is hiding)
- $C_{\mathcal{R}_x,w}$ is a public function of C_w
- opening to $C_{\mathcal{R}_{x},w}$ might leak information about w (can be fixed)

$$\mathcal{R}(x, w)$$
: NP relation



Soundness (for x where $\mathcal{R}_x(w) = 0$ for all w):

- if C_{w^*} is an <u>honestly-generated</u> commitment to some value w^* , then $C_{\mathcal{R}_{\mathcal{Y}},w^*}$ is a commitment to $\mathcal{R}_{\mathcal{X}}(w^*)=0$ by correctness
- statistical soundness follows by statistical binding

Open Problem: NIZK proof of well-formedness of GSW ciphertext $C \in \mathbb{Z}_q^{n \times m}$ $\exists x \in \{0,1\}$, short $R \in \mathbb{Z}_q^{m \times m} : C = AR + xG$

Would yield <u>direct</u> construction of NIZK for NP (lattice "analog" of [GOS06])

Construction makes black-box use of cryptography

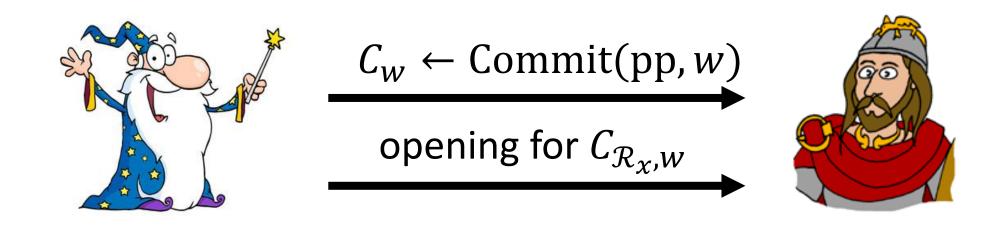
 (in contrast to Fiat-Shamir approach [CCHLRRW19, PS19])

Soundness (for x where $\pi_x = 0$ for all w):

- if C_{w^*} is an <u>honestly-generated</u> commitment to some value w^* , then $C_{\mathcal{R}_{\mathcal{X}},w^*}$ is a commitment to $\mathcal{R}_{\mathcal{X}}(w^*)=0$ by correctness
- statistical soundness follows by statistical binding

From Commitments to Preprocessing NIZKs

 $\mathcal{R}(x, w)$: NP relation

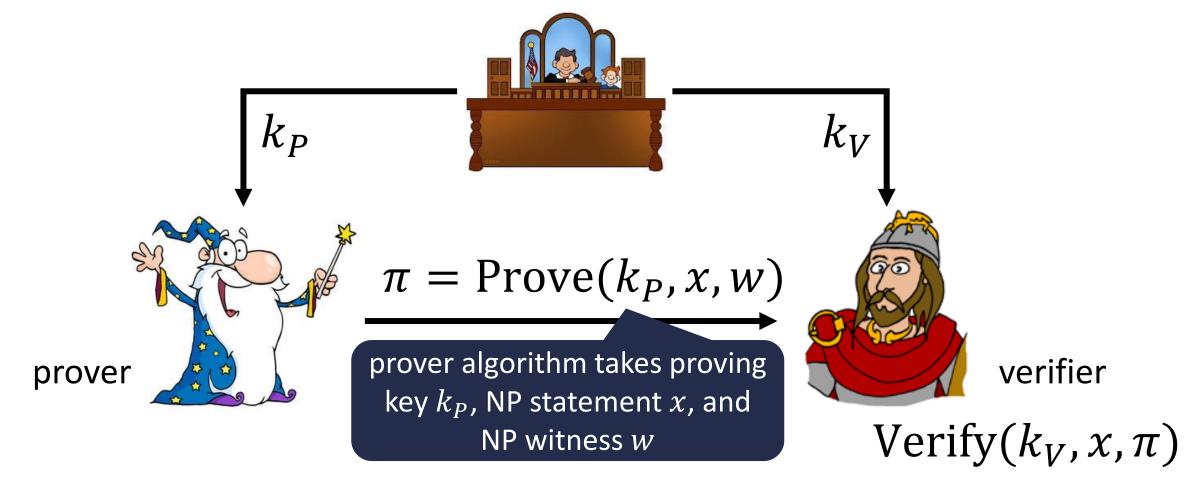


Can we still use this approach to obtain some type of NIZK?

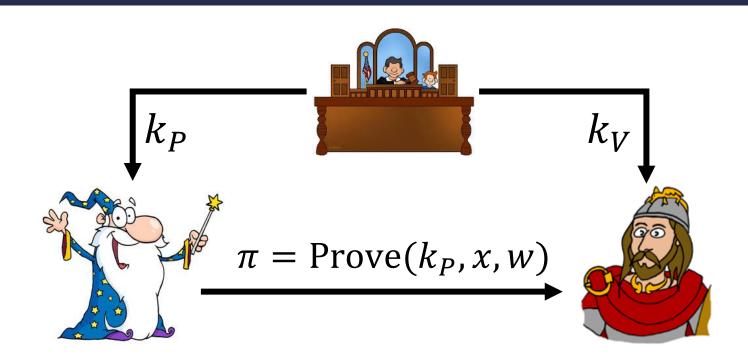
Yes! But in a weaker "preprocessing" or "correlated randomness" model

NIZKs in the Preprocessing Model

(trusted) setup algorithm generates both proving key k_P and a verification key k_V (statement-independent)



NIZKs in the Preprocessing Model



main requirement: reusability

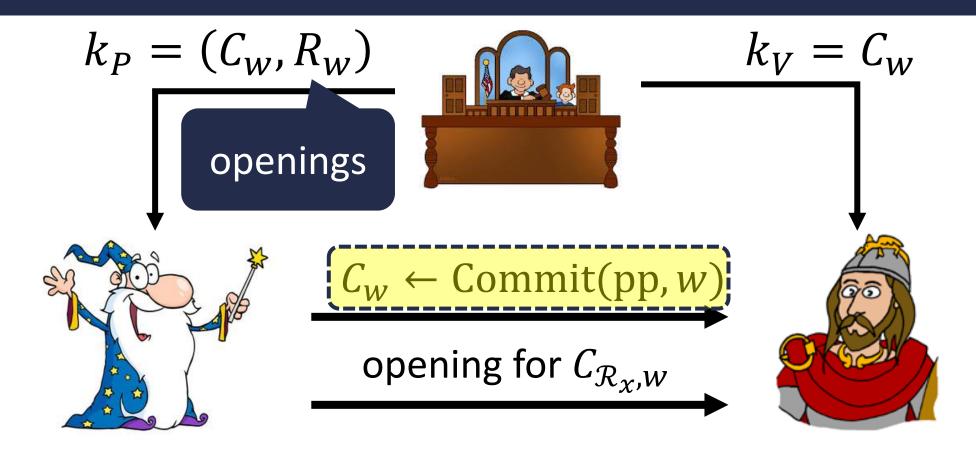
suffices for many applications of NIZKs

simpler than CRS model:

- soundness holds assuming k_V is <u>hidden</u>
- zero-knowledge holds assuming k_P is <u>hidden</u>

CRS model: k_P and k_V are both <u>public</u>

[KW18]

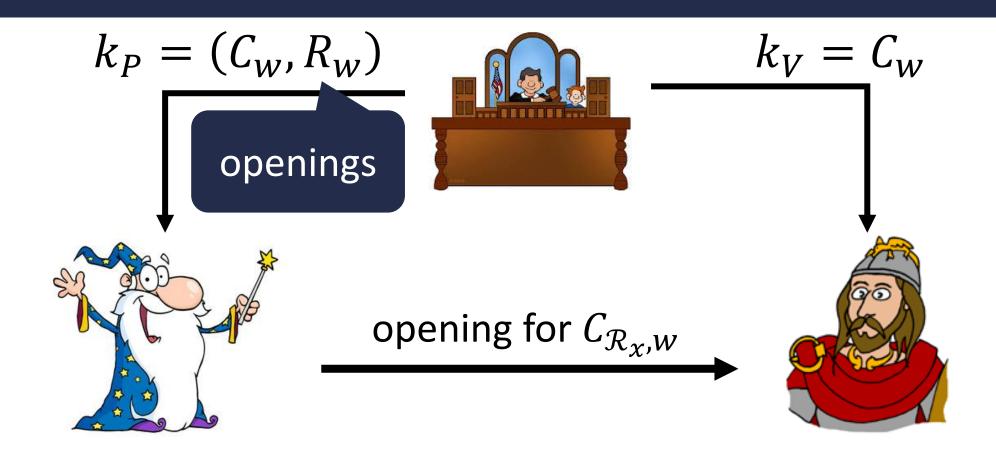


challenge: proving that C_w is a valid commitment

solution: have a trusted party generate it!

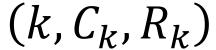
From Commitments to Preprocessing NIZKs

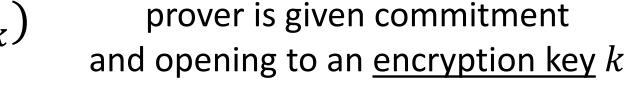
[KW18]

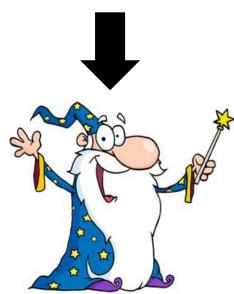


problem: preprocessing is witness-dependent

solution: add a layer of indirection



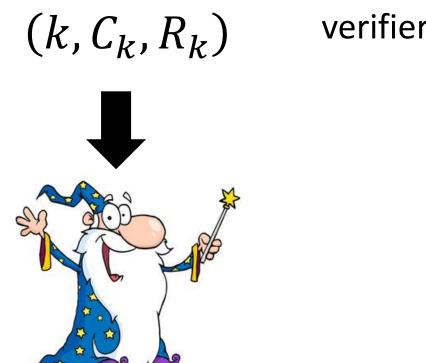




solution: add a layer of indirection

From Commitments to Preprocessing NIZKs

[KW18]

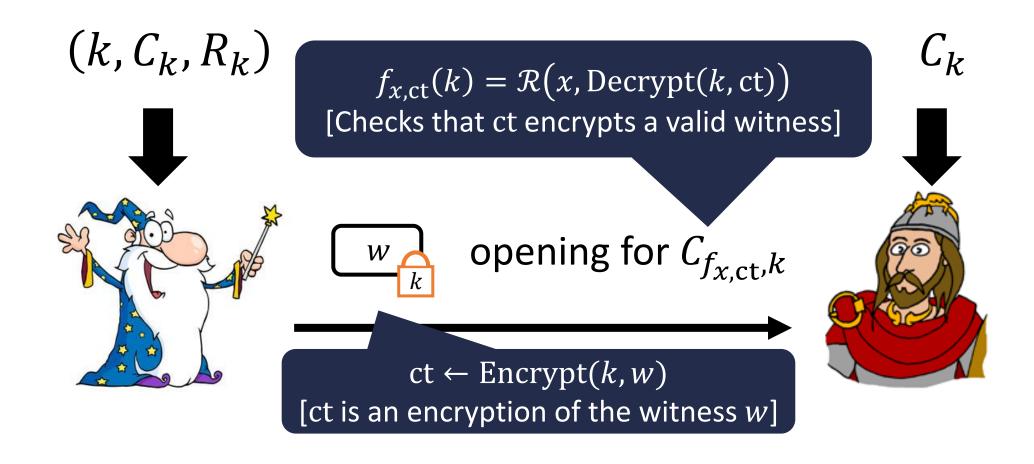


verifier given commitment to \boldsymbol{k}

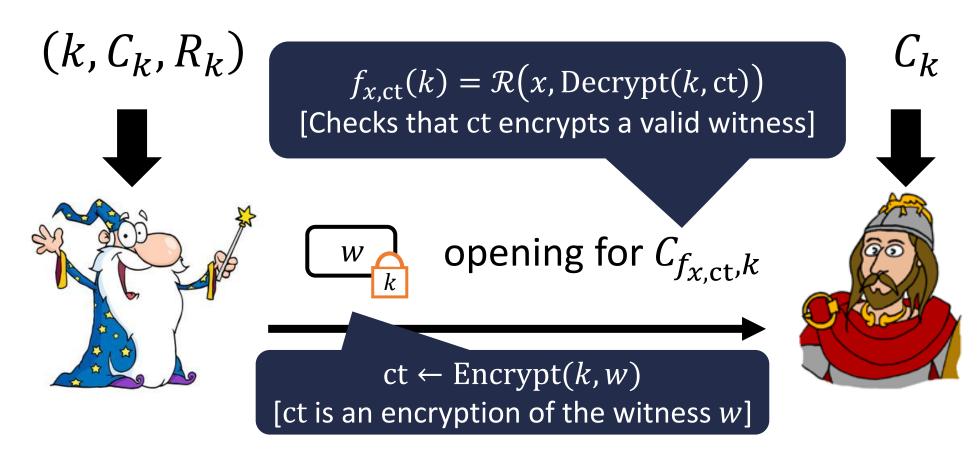
 C_k



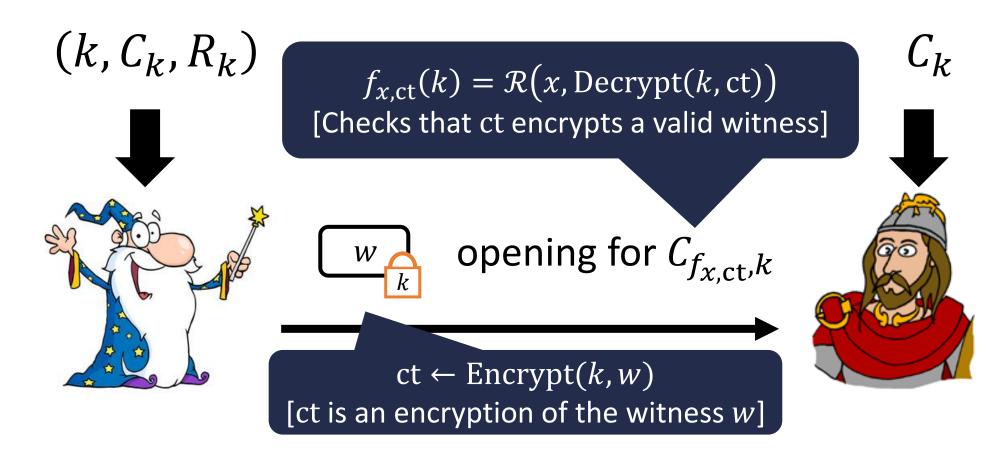
solution: add a layer of indirection



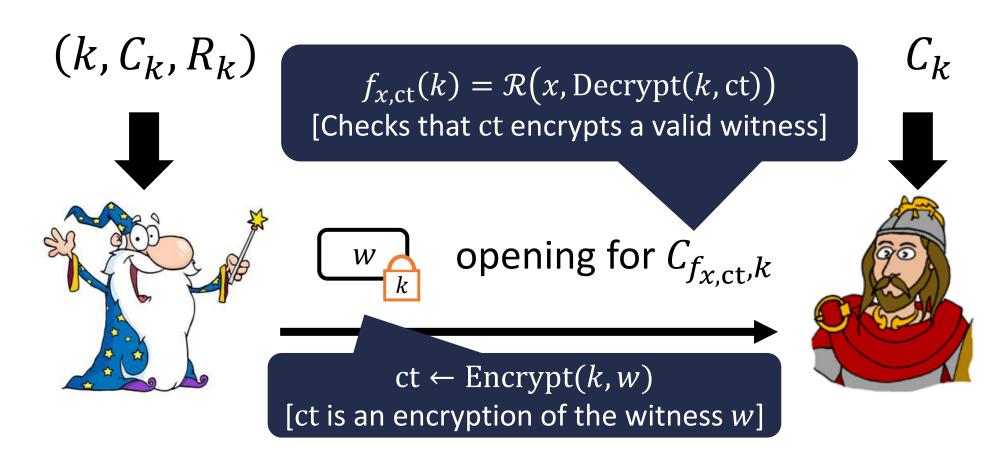
solution: add a layer of indirection



verifier computes $C_{f_{x,ct},k}$ from (x, ct, C_k) and checks that it opens to 1

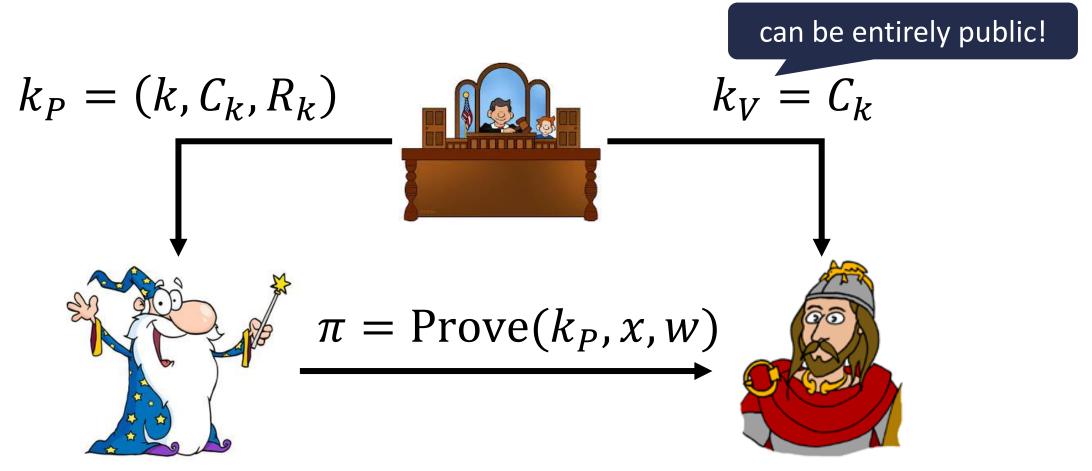


Soundness: $C_{f_{x,ct},k}$ is a commitment on $f_{x,ct}(k) = 0$ for all k and a false x; soundness follows by statistical binding of commitment scheme



Zero-Knowledge: commitment + opening hide k and encryption scheme hides w

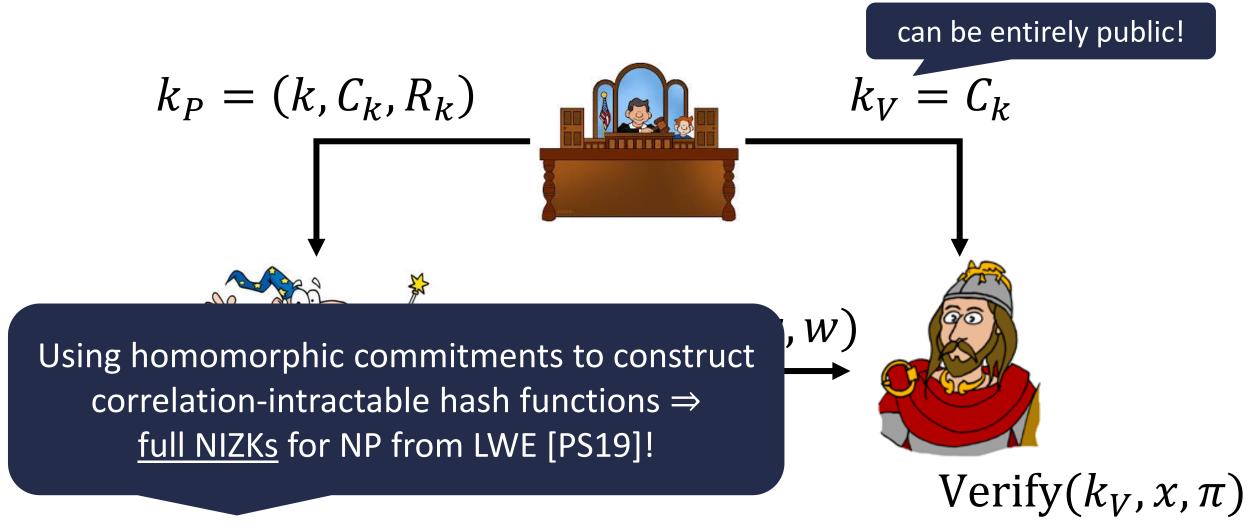
[KW18]



Verify(k_V, x, π)

designated-prover NIZK from homomorphic commitments (under LWE)

[KW18]



designated-prover NIZK from homomorphic commitments (under LWE)

Back to Homomorphic Commitments

computing on committed values:

$$C_1 = AR_1 + x_1G$$
 $C_2 = AR_2 + x_2G$
 \vdots
 $C_n = AR_n + x_nG$

commitment:

$$C_f = AR_{f,x} + f(x)G$$

opening:

$$\mathbf{R}_{f,x} = [\mathbf{R}_1 \mid \cdots \mid \mathbf{R}_n] \mathbf{H}_{f,x}$$

Requirement (for ZK): openings hides x up to what is revealed by f(x) ("context-hiding")

not true as written since $oldsymbol{R}_{f,x}$ leaks information about $oldsymbol{R}_1$, ..., $oldsymbol{R}_n$

Back to Homomorphic Commitments

computing on committed values:

$$\boldsymbol{C}_1 = \boldsymbol{A}\boldsymbol{R}_1 + \boldsymbol{x}_1\boldsymbol{G}$$

$$\boldsymbol{C}_2 = \boldsymbol{A}\boldsymbol{R}_2 + \boldsymbol{x}_2\boldsymbol{G}$$

•

$$C_n = AR_n + x_n G$$

commitment:

$$C_f = AR_{f,x} + f(x)G$$

opening:

$$\mathbf{R}_{f,x} = [\mathbf{R}_1 \mid \cdots \mid \mathbf{R}_n] \mathbf{H}_{f,x}$$

Requirement (for ZK): openings hides x up to what is revealed by f(x) ("context-hiding")

Another Ingredient: Lattice Trapdoors

[Ajt99, GPV08, AP09, CHKP10, MP12, LW15]

gadget trapdoors [MP12]

R

random matrix A

short matrix (trapdoor) *R*

gadget matrix G

Another Ingredient: Lattice Trapdoors

[Ajt99, GPV08, AP09, CHKP10, MP12, LW15]

gadget trapdoors [MP12]

short R such that AR = G

enables preimage sampling for SIS:

- let $f_A(x) \coloneqq Ax$
- given $u = f_A(x)$ and R, can sample short x' where $f_A(x') = u$

and x' is Gaussian-distributed

Another Ingredient: Lattice Trapdoors

[Ajt99, GPV08, AP09, CHKP10, MP12, LW15]

suppose
$$A = [A_1|A_2]$$

two possible trapdoors:

• if R_1 is trapdoor for A_1 , then $A_1R_1=G$ and

$$\begin{bmatrix} A_1 | A_2 \end{bmatrix} \cdot \begin{bmatrix} R_1 \\ \mathbf{0} \end{bmatrix} = G$$

simulation

• if $A_2 = A_1 R_2 \pm G$ for short R_2 , then

$$\left[A_1|A_2\right]\cdot\left[\overline{+}R_2\atop I\right]=G$$

real

two statistically-indistinguishable ways to sample $f_A^{-1}(u)$

computing on committed values:

$$C_1 = AR_1 + x_1G$$

$$C_2 = AR_2 + x_2G$$

$$\vdots$$

$$C_n = AR_n + x_n G$$

commitment:

$$C_f = AR_{f,x} + f(x)G$$

opening:

$$\mathbf{R}_{f,x} = [\mathbf{R}_1 \mid \cdots \mid \mathbf{R}_n] \mathbf{H}_{f,x}$$

for simplicity: only support openings to f(x) = 1

suffices for zero-knowledge (can consider f, \bar{f} more generally)

commitment:

$$C_f = AR_{f,x} + f(x)G$$

opening:

$$\mathbf{R}_{f,x} = [\mathbf{R}_1 \mid \cdots \mid \mathbf{R}_n] \mathbf{H}_{f,x}$$

for simplicity: only support openings to f(x) = 1

opening can be used to obtain trapdoor for

$$[A \mid C_f] = [A \mid AR_{f,x} + G]$$

if simulator chooses A, can choose A with trapdoor

if commitments are well-formed, committer also has trapdoor

commitment:

$$C_f = AR_{f,x} + f(x)G$$

opening:

$$\mathbf{R}_{f,x} = [\mathbf{R}_1 \mid \cdots \mid \mathbf{R}_n] \mathbf{H}_{f,x}$$

for simplicity: only support openings to f(x) = 1

opening can be used to obtain trapdoor for

$$[A \mid C_f] = [A \mid AR_{f,x} + G]$$

idea: include random target vector $oldsymbol{u}$ in public parameters

opening: short vector \boldsymbol{v} such that

$$[A \mid C_f]v = u$$

commitment:

$$C_f = AR_{f,x} + f(x)G$$

opening:

$$\mathbf{R}_{f,x} = [\mathbf{R}_1 \mid \cdots \mid \mathbf{R}_n] \mathbf{H}_{f,x}$$

real scheme:

public parameters:

- LWE matrix A
- sample random *u*

commitments:

• $C_i \leftarrow AR_i + x_iG$

opening:

- compute C_f from $C_1, ..., C_n$
- sample short v such that $[A \mid C_f]v = u$ using $R_{f,x} \leftarrow [R_1 \mid \cdots \mid R_n]H_{f,x}$

to simulate:

public parameters:

- sample A with trapdoor R
- sample random *u*

commitments:

- sample random matrices C_i Dening:
 - compute C_f from C_1 , ..., C_n
 - sample short $oldsymbol{v}$ such that $[oldsymbol{A} \mid oldsymbol{C}_f] oldsymbol{v} = oldsymbol{u}$ using $oldsymbol{R}$

sampling

LWE

Dual-Mode Homomorphic Commitments

public parameters $A \in \mathbb{Z}_q^{n \times m}$ (LWE matrix)

$$C = AR + \chi G$$
commitment
opening
(check R short)
message

statistically binding: correctness of GSW (in fact, extractable)

computationally hiding: security of GSW (under LWE)

Dual-Mode Homomorphic Commitments

public parameters $A \in \mathbb{Z}_q^{n \times m}$ (uniformly random)

$$C = AR + \chi G$$
commitment
$$\begin{array}{c} \text{opening} \\ \text{(check } R \text{ short)} \end{array}$$
message

statistically hiding: leftover hash lemma (in fact, equivocable)

computational binding: switch A to LWE matrix

Homomorphic Signatures

public parameters $A \in \mathbb{Z}_q^{n \times m}$ (uniformly random)

equivocation ⇒ signature

Homomorphic Signatures

public parameters $A \in \mathbb{Z}_q^{n \times m}$ (uniformly random)

$$\begin{array}{c} C = AR + \chi G \\ \text{public} \\ \text{parameters} \\ \text{signature} \\ \text{(check R short)} \end{array}$$

verification key: random A, C_1 , ..., C_n

signing key: trapdoor for A

Homomorphic Signatures

vk: A, C_1 , ..., $C_n \in \mathbb{Z}_q^{n \times m}$

sk: trapdoor for A

signature on $x \in \{0,1\}^n$: short $R_1, ..., R_n \in \mathbb{Z}_q^{n \times m}$ where $C_i = AR_i + x_iG$ verify signature \mathbf{R} on (f, f(x))

$$C_1, \dots, C_n, f \mapsto C_f$$

 $\operatorname{check} \boldsymbol{AR} + f(x)\boldsymbol{G} = \boldsymbol{C}_f$

compute f on signatures:

$$\mathbf{R}_{f,x} = [\mathbf{R}_1 \mid \cdots \mid \mathbf{R}_n] \mathbf{H}_{f,x}$$

unforgeability follows from binding property of the commitment scheme

Summary

GSW ciphertexts:

$$C_i = AR_i + x_i G$$

"input-independent" evaluation (given $C_1, ..., C_n, f$):

$${\it C}_1$$
, ..., ${\it C}_n\mapsto {\it C}_f$

"input-dependent" evaluation (given $C_1, ..., C_n, f, x$):

$$[\boldsymbol{C}_1 - x_1 \boldsymbol{G} \mid \cdots \mid \boldsymbol{C}_n - x_n \boldsymbol{G}] \boldsymbol{H}_{f,x} = \boldsymbol{C}_f - f(x) \boldsymbol{G}$$

A is LWE matrix \Rightarrow extractable commitments A is uniform \Rightarrow equivocable commitments (homomorphic signatures) homomorphic commitments/signatures \Rightarrow designated-prover NIZKs

Open Questions

NIZK proof of well-formedness of GSW ciphertexts?

Fully homomorphic commitments/signatures from lattices?

$$\mathbf{R}_{f,x} = [\mathbf{R}_1 \mid \cdots \mid \mathbf{R}_n] \mathbf{H}_{f,x}$$

 $\|H_{f,x}\|$ scales with <u>exponentially</u> in the depth d of the function f, so modulus $q>2^{O(d)}$

Open Questions

NIZK proof of well-formedness of GSW ciphertexts?

Fully homomorphic commitments/signatures from lattices?

$$\mathbf{R}_{f,x} = [\mathbf{R}_1 \mid \cdots \mid \mathbf{R}_n] \mathbf{H}_{f,x}$$

Short public parameters without random oracles?

Thank you!