Secure Architecture Principles

- Isolation and Least Privilege
- Access Control Concepts
- Operating Systems
- Browser Isolation and Least Privilege
Announcement

Thursday lecture:

Alex Stamos, Yahoo! VP of Information Security (CISO)

– He is taking time from his busy schedule to join us

– Please come to class, in person, show your appreciation!
Secure Architecture Principles

Isolation and Least Privilege
Principles of Secure Design

• Compartmentalization
  – Isolation
  – Principle of least privilege

• Defense in depth
  – Use more than one security mechanism
  – Secure the weakest link
  – Fail securely

• Keep it simple
Principle of Least Privilege

• What’s a privilege?
  – Ability to access or modify a resource

• Assume compartmentalization and isolation
  – Separate the system into isolated compartments
  – Limit interaction between compartments

• Principle of Least Privilege
  – A system module should only have the minimal privileges needed for its intended purposes
Monolithic design

System

Network
User input
File system

Network
User device
File system
Monolithic design

System

Network
User input
File system

Network
User device
File system
Monolithic design

Network
User input
File system

Network
User display
File system

John Mitchell
Component design

Network

User input

File system

Network

User display

File system
Component design

- Network
- User input
- File system

- Network
- User device
- File system
Component design
Principle of Least Privilege

• What’s a privilege?
  – Ability to access or modify a resource

• Assume compartmentalization and isolation
  – Separate the system into isolated compartments
  – Limit interaction between compartments

• Principle of Least Privilege
  – A system module should only have the minimal privileges needed for its intended purposes
Example: Mail Agent

• Requirements
  – Receive and send email over external network
  – Place incoming email into local user inbox files

• Sendmail
  – Traditional Unix
  – Monolithic design
  – Historical source of many vulnerabilities

• Qmail
  – Compartmentalized design
OS Basics (before examples)

• Isolation between processes
  – Each process has a UID
    • Two processes with same UID have same permissions
  – A process may access files, network sockets, ....
    • Permission granted according to UID

• Relation to previous terminology
  – Compartment defined by UID
  – Privileges defined by actions allowed on system resources
Qmail design

• Isolation based on OS isolation
  – Separate modules run as separate “users”
  – Each user only has access to specific resources

• Least privilege
  – Minimal privileges for each UID
  – Only one “setuid” program
    • setuid allows a program to run as different users
  – Only one “root” program
    • root program has all privileges
Structure of qmail

- qmail-smtpd
- qmail-queue
- qmail-send
  - qmail-rspawn
    - qmail-remote
  - qmail-lspawn
    - qmail-local
- qmail-inject

Incoming external mail

Incoming internal mail
Isolation by Unix UIDs

- **qmailq** – user who is allowed to read/write mail queue
- **qmaild**
  - **qmail-smtpd**
  - **qmailq**
    - **qmail-queue**
      - **qmail-send**
        - **qmail-rspawn**
        - **qmail-remote**
        - **qmails**
        - **qmail-lspawn**
        - **qmail-local**
        - **root**
      - **qmail-inject**
        - **user**
      - **setuid user**
        - **user**
  - **qmailr**
Structure of qmail

- qmail-smtpd
- qmail-remote
- qmail-rspawn
- qmail-send
- qmail-queue
- qmail-local
- qmail-lspawn
- qmail-inject

Reads incoming mail directories
Splits message into header, body
Signals qmail-send
Structure of qmail

- qmail-smtpd
- qmail-queue
- qmail-send
- qmail-send signals
  - qmail-lspawn if local
  - qmail-remote if remote
- qmail-send
- qmail-rspawn
- qmail-remote
- qmail-lspawn
- qmail-remote
- qmail-local
- qmail-inject
Structure of qmail

- qmail-smtpd
- qmail-queue
- qmail-send
- qmail-inject
- qmail-lspawn
  - Spawns qmail-local
  - qmail-local runs with ID of user receiving local mail
- qmail-local
Structure of qmail

- **qmail-smtpd**
- **qmail-queue**
- **qmail-send**
- **qmail-lspawn**
- **qmail-locals**

**qmail-local**
- Handles alias expansion
- Delivers local mail
- Calls qmail-queue if needed
Structure of qmail

- qmail-smtpd
- qmail-remote
- qmail-rspawn
- qmail-queue
- qmail-send
- qmail-inject

qmail-remote
- Delivers message to remote MTA
Isolation by Unix UIDs

qmailq – user who is allowed to read/write mail queue

setuid

qmaild

qmail-smtpd

qmailq

qmail-inject

user

qmail-queue

setuid user

root

qmailr

qmail-send

qmail-remote

qmail-local

qmail-rspawn

qmail-lspawn

user

qmailr

qmailq – user who is allowed to read/write mail queue
Least privilege

qmail-smtpd

setuid

qmail-queue

qmail-inject

qmail-send

root

qmail-rspawn

qmail-remote

qmail-lspawn

qmail-local
Android process isolation

- Android application sandbox
  - Isolation: Each application runs with its own UID in own VM
    - Provides memory protection
    - Communication limited to using Unix domain sockets
    - Only ping, zygote (spawn another process) run as root
  - Interaction: reference monitor checks permissions on inter-component communication
  - Least Privilege: Applications announces permission
    - User grants access at install time
Secure Architecture Principles

Access Control Concepts
Access control

• Assumptions
  – System knows who the user is
    • Authentication via name and password, other credential
  – Access requests pass through gatekeeper (reference monitor)
    • System must not allow monitor to be bypassed
### Access control matrix

A matrix that represents the access control for multiple users and objects.

#### Subjects
- User 1: read, write, read
- User 2: write, write, write
- User 3: read, read
- User m: read, write, read

#### Objects
- File 1: read
- File 2: write
- File 3: -
- File n: read
- File m: read

**[Lampson]**
Implementation concepts

- Access control list (ACL)
  - Store column of matrix with the resource
- Capability
  - User holds a “ticket” for each resource
  - Two variations
    - Store row of matrix with user, under OS control
    - Unforgeable ticket in user space

<table>
<thead>
<tr>
<th></th>
<th>File 1</th>
<th>File 2</th>
<th>...</th>
</tr>
</thead>
<tbody>
<tr>
<td>User 1</td>
<td>read</td>
<td>write</td>
<td>-</td>
</tr>
<tr>
<td>User 2</td>
<td>write</td>
<td>write</td>
<td>-</td>
</tr>
<tr>
<td>User 3</td>
<td>-</td>
<td>-</td>
<td>read</td>
</tr>
<tr>
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Access control lists are widely used, often with groups
Some aspects of capability concept are used in many systems
ACL vs Capabilities

• Access control list
  – Associate list with each object
  – Check user/group against list
  – Relies on authentication: need to know user

• Capabilities
  – Capability is unforgeable ticket
    • Random bit sequence, or managed by OS
    • Can be passed from one process to another
  – Reference monitor checks ticket
    • Does not need to know identify of user/process
ACL vs Capabilities

User U → Process P
User U → Process Q
User U → Process R

Capability c, d, e → Process P
Capability c, e → Process Q
Capability c → Process R
ACL vs Capabilities

- Delegation
  - Cap: Process can pass capability at run time
  - ACL: Try to get owner to add permission to list?
    - More common: let other process act under current user

- Revocation
  - ACL: Remove user or group from list
  - Cap: Try to get capability back from process?
    - Possible in some systems if appropriate bookkeeping
      - OS knows which data is capability
      - If capability is used for multiple resources, have to revoke all or none ...
    - Indirection: capability points to pointer to resource
      - If C → P → R, then revoke capability C by setting P=0
Roles (aka Groups)

• Role = set of users
  – Administrator, PowerUser, User, Guest
  – Assign permissions to roles; each user gets permission

• Role hierarchy
  – Partial order of roles
  – Each role gets permissions of roles below
  – List only new permissions given to each role

Administrator
PowerUser
User
Guest
Role-Based Access Control

Advantage: users change more frequently than roles
Access control summary

• Access control involves reference monitor
  – Check permissions: \( \langle \text{user info, action} \rangle \rightarrow \text{yes/no} \)
  – Important: no way around this check

• Access control matrix
  – Access control lists vs capabilities
  – Advantages and disadvantages of each

• Role-based access control
  – Use group as “user info”; use group hierarchies
Secure Architecture Principles

Operating Systems
Unix access control

- Process has user id
  - Inherit from creating process
  - Process can change id
    - Restricted set of options
  - Special “root” id
    - All access allowed
- File has access control list (ACL)
  - Grants permission to user ids
  - Owner, group, other

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Unix file access control list

- Each file has owner and group
- Permissions set by owner
  - Read, write, execute
  - Owner, group, other
  - Represented by vector of four octal values
- Only owner, root can change permissions
  - This privilege cannot be delegated or shared
- Setid bits – Discuss in a few slides
Question

- Owner can have fewer privileges than other
  - What happens?
    - Owner gets access?
    - Owner does not?

Prioritized resolution of differences

```python
if user = owner then owner permission
else if user in group then group permission
else other permission
```
Process effective user id (EUID)

- Each process has three IDs (+ more under Linux)
  - Real user ID (RUID)
    - same as the user ID of parent (unless changed)
    - used to determine which user started the process
  - Effective user ID (EUID)
    - from set user ID bit on the file being executed, or sys call
    - determines the permissions for process
      - file access and port binding
  - Saved user ID (SUID)
    - So previous EUID can be restored

- Real group ID, effective group ID, used similarly
Process Operations and IDs

• Root
  – ID=0 for superuser root; can access any file

• Fork and Exec
  – Inherit three IDs, except exec of file with setuid bit

• Setuid system call
  – seteuid(newid) can set EUID to
    • Real ID or saved ID, regardless of current EUID
    • Any ID, if EUID=0

• Details are actually more complicated
  – Several different calls: setuid, seteuid, setreuid
Setid bits on executable Unix file

• Three setid bits
  – Setuid – set EUID of process to ID of file owner
  – Setgid – set EGID of process to GID of file
  – Sticky
    • Off: if user has write permission on directory, can rename or remove files, even if not owner
    • On: only file owner, directory owner, and root can rename or remove file in the directory
Example

```
...;
...;
exec(i);
```

RUID 25

```
...;
...;
i=getruid();
setuid(i);
...;
...;
```

Owner 18

```
-rw-r--r--
file
```

read/write

Owner 18

```
-rw-r--r--
file
```

read/write

Owner 25

```
-rw-r--r--
```

Owner 18 SetUID program

RUID 25

EUID 18

RUID 25

EUID 25

RUID 25

EUID 18

RUID 25

EUID 25

John Mitchell
Unix summary

• Good things
  – Some protection from most users
  – Flexible enough to make things possible

• Main limitation
  – Too tempting to use root privileges
  – No way to assume some root privileges without all root privileges
Weakness in isolation, privileges

- Network-facing Daemons
  - Root processes with network ports open to all remote parties, e.g., sshd, ftpd, sendmail, ...

- Rootkits
  - System extension via dynamically loaded kernel modules

- Environment Variables
  - System variables such as LIBPATH that are shared state across applications. An attacker can change LIBPATH to load an attacker-provided file as a dynamic library
Weakness in isolation, privileges

• Shared Resources
  – Since any process can create files in /tmp directory, an untrusted process may create files that are used by arbitrary system processes

• Time-of-Check-to-Time-of-Use (TOCTTOU)
  – Typically, a root process uses system call to determine if initiating user has permission to a particular file, e.g. /tmp/X.
  – After access is authorized and before the file open, user may change the file /tmp/X to a symbolic link to a target file /etc/shadow.
Access control in Windows

• Some basic functionality similar to Unix
  – Specify access for groups and users
    • Read, modify, change owner, delete

• Some additional concepts
  – Tokens
  – Security attributes

• Generally
  – More flexible than Unix
    • Can define new permissions
    • Can give some but not all administrator privileges
Identify subject using SID

- Security ID (SID)
  - Identity (replaces UID)
    - SID revision number
    - 48-bit authority value
    - variable number of Relative Identifiers (RIDs), for uniqueness
  - Users, groups, computers, domains, domain members all have SIDs
Process has set of tokens

• Security context
  – Privileges, accounts, and groups associated with the process or thread
  – Presented as set of tokens
• Impersonation token
  – Used temporarily to adopt a different security context, usually of another user
• Security Reference Monitor
  – Uses tokens to identify the security context of a process or thread
Object has security descriptor

- Security descriptor associated with an object
  - Specifies who can perform what actions on the object
- Several fields
  - Header
    - Descriptor revision number
    - Control flags, attributes of the descriptor
      - E.g., memory layout of the descriptor
  - SID of the object's owner
  - SID of the primary group of the object
  - Two attached optional lists:
    - Discretionary Access Control List (DACL) – users, groups, ...
    - System Access Control List (SACL) – system logs, ..
Example access request

Access token

- User: Mark
- Group1: Administrators
- Group2: Writers

Security descriptor

- Revision Number
- Control flags
- Owner SID
- Group SID
- DACL Pointer
- SACL Pointer
- Deny
- Writers
- Read, Write
- Allow
- Mark
- Read, Write

Access request: write
Action: denied

- User Mark requests write permission
- Descriptor denies permission to group
- Reference Monitor denies request
  (DACL for access, SACL for audit and logging)

Priority:
- Explicit Deny
- Explicit Allow
- Inherited Deny
- Inherited Allow
Impersonation Tokens (compare to setuid)

• Process adopts security attributes of another
  – Client passes impersonation token to server
• Client specifies impersonation level of server
  – Anonymous
    • Token has no information about the client
  – Identification
    • Obtain the SIDs of client and client's privileges, but server cannot impersonate the client
  – Impersonation
    • Impersonate the client
  – Delegation
    • Lets server impersonate client on local, remote systems
Weakness in isolation, privileges

• Similar problems to Unix
  – E.g., Rootkits leveraging dynamically loaded kernel modules
• Windows Registry
  – Global hierarchical database to store data for all programs
  – Registry entry can be associated with a security context that limits access; common to be able to write sensitive entry
• Enabled By Default
  – Historically, many Windows deployments also came with full permissions and functionality enabled
Secure Architecture Principles

Browser Isolation and Least Privilege
Web browser: an analogy

Operating system

- Subject: Processes
  - Has User ID (UID, SID)
  - Discretionary access control
- Objects
  - File
  - Network
  - ...
- Vulnerabilities
  - Untrusted programs
  - Buffer overflow
  - ...

Web browser

- Subject: web content (JavaScript)
  - Has “Origin”
  - Mandatory access control
- Objects
  - Document object model
  - Frames
  - Cookies / localStorage
- Vulnerabilities
  - Cross-site scripting
  - Implementation bugs
  - ...

The web browser enforces its own internal policy. If the browser implementation is corrupted, this mechanism becomes unreliable.
Components of security policy

• Frame-Frame relationships
  – canScript(A,B)
    • Can Frame A execute a script that manipulates arbitrary/nontrivial DOM elements of Frame B?
  – canNavigate(A,B)
    • Can Frame A change the origin of content for Frame B?

• Frame-principal relationships
  – readCookie(A,S), writeCookie(A,S)
    • Can Frame A read/write cookies from site S?
Chromium Security Architecture

- Browser ("kernel")
  - Full privileges (file system, networking)
- Rendering engine
  - Up to 20 processes
  - Sandboxed
- One process per plugin
  - Full privileges of browser
Chromium

Communicating sandbox components

See: http://dev.chromium.org/developers/design-documents/sandbox/
Design Decisions

• Compatibility
  – Sites rely on the existing browser security policy
  – Browser is only as useful as the sites it can render
  – Rules out more “clean slate” approaches

• Black Box
  – Only renderer may parse HTML, JavaScript, etc.
  – Kernel enforces coarse-grained security policy
  – Renderer to enforces finer-grained policy decisions

• Minimize User Decisions
# Task Allocation

<table>
<thead>
<tr>
<th>Rendering Engine</th>
<th>Browser Kernel</th>
</tr>
</thead>
<tbody>
<tr>
<td>HTML parsing</td>
<td>Cookie database</td>
</tr>
<tr>
<td>CSS parsing</td>
<td>History database</td>
</tr>
<tr>
<td>Image decoding</td>
<td>Password database</td>
</tr>
<tr>
<td>JavaScript interpreter</td>
<td>Window management</td>
</tr>
<tr>
<td>Regular expressions</td>
<td>Location bar</td>
</tr>
<tr>
<td>Layout</td>
<td>Safe Browsing blacklist</td>
</tr>
<tr>
<td>Document Object Model</td>
<td>Network stack</td>
</tr>
<tr>
<td>Rendering</td>
<td>SSL/TLS</td>
</tr>
<tr>
<td>SVG</td>
<td>Disk cache</td>
</tr>
<tr>
<td>XML parsing</td>
<td>Download manager</td>
</tr>
<tr>
<td>XSLT</td>
<td>Clipboard</td>
</tr>
</tbody>
</table>

**Both**

- URL parsing
- Unicode parsing
Leverage OS Isolation

• Sandbox based on four OS mechanisms
  – A restricted token
  – The Windows *job* object
  – The Windows *desktop* object
  – Windows Vista only: integrity levels

• Specifically, the rendering engine
  – adjusts security token by converting SIDS to DENY_ONLY, adding restricted SID, and calling AdjustTokenPrivileges
  – runs in a Windows Job Object, restricting ability to create new processes, read or write clipboard, ..
  – runs on a separate desktop, mitigating lax security checking of some Windows APIs

See: http://dev.chromium.org/developers/design-documents/sandbox/
Evaluation: CVE count

• Total CVEs:

<table>
<thead>
<tr>
<th></th>
<th>Browser</th>
<th>Renderer</th>
<th>Unclassified</th>
</tr>
</thead>
<tbody>
<tr>
<td>Internet Explorer</td>
<td>4</td>
<td>10</td>
<td>5</td>
</tr>
<tr>
<td>Firefox</td>
<td>17</td>
<td>40</td>
<td>3</td>
</tr>
<tr>
<td>Safari</td>
<td>12</td>
<td>37</td>
<td>1</td>
</tr>
</tbody>
</table>

• Arbitrary code execution vulnerabilities:

<table>
<thead>
<tr>
<th></th>
<th>Browser</th>
<th>Renderer</th>
<th>Unclassified</th>
</tr>
</thead>
<tbody>
<tr>
<td>Internet Explorer</td>
<td>1</td>
<td>9</td>
<td>5</td>
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<td>Firefox</td>
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<tr>
<td>Safari</td>
<td>5</td>
<td>10</td>
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Summary

• Security principles
  – Isolation
  – Principle of Least Privilege
  – Qmail example
• Access Control Concepts
  – Matrix, ACL, Capabilities
• OS Mechanisms
  – Unix
    • File system, Setuid
  – Windows
    • File system, Tokens, EFS
• Browser security architecture
  – Isolation and least privilege example
Thursday lecture:

Alex Stamos, Yahoo! VP of Information Security (CISO)
– He is taking time from his busy schedule to join us
– Please come to class, in person, show your appreciation!